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Note: Form must be completed in English. Forms completed in any other language will not be accepted. In case of any discrepancy between the English and Chinese version of this form, the English version shall prevail.

TD Ameritrade is committed to providing our clients with secure and reliable access to their accounts. This commitment includes providing information regarding plans to address disruptions in our business operations due to power outages, natural disasters, or other significant events. In the event of a disruption, TD Ameritrade has a Business Continuity Plan that is intended to permit the firm to maintain business operations such as processing client orders and transactions, providing access to cash and securities, and providing access to information about balances and transactions in client accounts. Examples of how TD Ameritrade's plan addresses disruptions of varying scope and magnitude include:

- Disruption of service at any of our service centers will result in calls, orders, and electronic communications being rerouted to an alternative service center located in a different region of the country with a separate power grid and transportation system.
- A significant disruption in our primary data center will result in a transition to systems at an alternate data center. Our data centers are each supported by backup power generators and are located in different regions of the country with different power grids and transportation networks. Our data centers and service centers are located in separate and distinct regions from each other.

Although TD Ameritrade has taken significant steps to develop and implement sound business recovery practices, after a significant business disruption, clients may still experience service disruptions, or reduced services or access as the firm recovers. We continually assess, update, and test our plans to ensure that TD Ameritrade plans are robust and are consistent with many of the best practices in the industry. Any modifications to our plan will be reflected in this Business Continuity Plan Statement, which will be posted on our website, or you may obtain a current version of this Statement by writing us at TD Ameritrade, Inc., Compliance Department, P.O. Box 2148, Omaha, NE 68103-2148.

请注意：表格须以英文填写。请恕无法接受以任何其他语言填写的表格。若此表格的英文与中文版本内容有任何差异，以英文版本为准。

德美利证券致力于为客户提供安全可靠的账户使用。这一承诺包括提供用于解决由于停电、自然灾害或其他重大事件导致业务运营中断情况的相关计划信息。在发生中断时，德美利证券将启用业务连续性计划，旨在允许公司维持业务运营，如处理客户订单和交易、提供现金和证券的使用，以及提供客户账户中有关余额和交易的信息。德美利证券计划如何处理不同范围和程度中断的实例包括：

- 发生在我们任何一个服务中心的服务中断都会导致电话、订单和电子通讯被转到位于国内不同地区的替代服务中心，他们具有单独的电网和运输系统。
- 发生在我们主要数据中心的严重中断会导致系统转换至备用数据中心的。我们的数据中心分别由备用发电机提供支持，且位于国内不同的地区，并且拥有不同的电网和运输网络。我们的数据中心和服务中心分别位于彼此不同的地区。

尽管德美利证券已经采取了重要步骤来制定并实施健全的业务恢复措施，但在发生重大业务中断之后，客户仍然可能会在公司恢复期间遇到服务中断，或服务或使用减少的情况。我们不断地评估、更新并测试我们的计划，以确保德美利证券计划的稳定性，并与行业内诸多最佳实践水平保持一致。关于我们计划的任何修改都将呈现在本业务连续性计划声明中，并将在我们的网站上发布，或者您也可以写信至 TD Ameritrade, Inc., Compliance Department, P.O. Box 2148, Omaha, NE 68103-2148 索取。

Investment Products:
Not FDIC Insured * No Bank Guarantee * May Lose Value

投资产品：
无 FDIC 保险 * 无银行保证 * 可能会损失价值

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