

Qualified Retirement Plan (QRP) Plan Status Information Form



Return Options:

Electronically via Message Center:

Log in and go to Client Services > Message Center to attach the file

Regular Mail:

PO Box 2209, Omaha, NE 68103-2209

Overnight Mail:

200 South 108th Avenue
Omaha, NE 68154-2631

Fax: 866-468-6268

Account #: _____

Company Name: _____

Questions? Call a Client Services representative at 800-669-3900.

Single Participant/Business Partners (can include Spouse)

Note: Owner-Only plans (plans with no participants other than business owner(s) and spouses of owners who work for the business) should check here. If the plan is a Profit Sharing or Money Purchase Pension Plan, also check "No" for "ERISA 404(c) Compliance" in Section 7 of the Adoption Agreement (not applicable to the Individual 401(k) Adoption Agreement).

ERISA Plan - Plan with eligible employees

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Plan Contact Information (Required Fields)

Plan Fiduciary/Employer Name:

Middle Name:

Last Name:

Name of Plan:

Plan/Employer TIN (if applicable):

Fiduciary's Address (No PO Boxes):

City:

State:

Zip Code:

Country:

Fiduciary/Employer Phone Number:

Check here if this is not a U.S. phone number

Fiduciary/Employer's Email:

If you have a Third Party Administrator (TPA) or a Recordkeeper associated with your plan, please provide the following information:

TPA/Recordkeeper Name:

Contact Name:

Mailing Address (No PO Boxes):

City:

State:

Zip Code:

Country:

Phone number:

Email:



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Employee Information

Please list all TD Ameritrade accounts within your qualified plan. This section is used to identify all employees covered by specific employers to remove them from the administrator mailing lists.

Employee Name	TD Ameritrade Account Number	Relationship for Owner Only Plan (Self, Spouse, Minor Child, Partner)																					
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Signature

Employer's Signature:

Date:

X

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Print Name:

Title:

Sign Here

Original signatures are required; electronic signatures and/or signature fonts are not authorized

Investment Products: Not FDIC Insured * No Bank Guarantee * May Lose Value

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